



MDG-F KNOWLEDGE MANAGEMENT PLANS ANNUAL PROGRESS REPORT

REPORT COVER PAGE

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Convener Agency:

WGF - UNDP Water Governance Facility at SIWI

MDG-F Thematic Window:

Democratic and Economic Governance

Project Title:

Democratic and Economic Governance Knowledge Management: Water Supply and Sanitation

Project No:

SIWI Reference No. 25-101

Project Duration:

2 years, 10 months

Reporting Period:

1 July 2012 –
4 June 2013 (final)

Project Budget (in US\$):
USD 750,000

Abbreviations and Acronyms:

JP	Joint Programme
BDP	Bureau for Development Policy
CLOCSAS	Confederación Latinoamericana de Organizaciones Comunitarias de Servicios de Agua y Saneamiento
DEG	Democratic and Economic Governance
DEG-KM	Democratic and Economic Governance Knowledge Management
EEG	Energy and Environment Group
GoAL WaSH	Governance, Advocacy and Learning – Water, Sanitation and Hygiene
HR	Human Right
KM	Knowledge Management
SENAGUA	Secretaría Nacional del Agua (National Water Secretariat, Ecuador)
Sida	Swedish International Development Cooperation Agency
SIWI	Stockholm International Water Institute
MDG-F	Millennium Development Goals Achievement Fund
TTT	Towards Transcultural Transparency (research project)
TW	Teamworks
VE	Valuable Experience
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
WGF	UNDP Water Governance Facility at SIWI
www	world wide web
WWW	World Water Week

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1. PURPOSE

The purpose of this project is to ensure that generated knowledge and innovations from the thematic window Democratic Economic Governance (DEG) within the Millennium Development Goals Achievement Fund (MDG-F) are properly documented, analyzed and widely disseminated for uptake and replication.

2. FINANCIAL RESOURCES

The financial resources allocated to the present KM plan amount to US\$ 750,000. These have been deposited with SIWI, and have been held in Swedish krona (SEK 5,451,976, converted from US dollars at the rate of SEK 7,2693/USD). As of June, 2013, after a four-month no-cost extension, the full amount of funds allocated has been used for project activities.

2.1. State any budget revisions approved by the appropriate decision-making body

2.2. Provide information on additional financial resources obtained to fund the plan

There have been no formal budget revisions, and no additional financial resources obtained to fund the plan. Additional time has been granted by way of a no-cost extension until 4 June, 2013, which was awarded on 1 October, 2012. The working budget closely follows the detailed work plan and has been provided with further detail as well as reallocations between the different project components, compared to original global budget contained in the project document.

The first detailed budget was submitted with the Inception report (March 2011). The second expenditure report and updated budget was submitted with the first Annual Report, and a third expenditure report and budget update was submitted with the second Annual Report (submitted in August, 2012). The present and Final Annual Report presents all the expenditure up until the end of the project in June 2013 (Annex 1).

During the last year of the project, after a four-month extension (4 February -4 June, 2013), all the resources allocated to the project have been used. The largest individual financial undertaking of the project was the final DEG-KM workshop, held in Stockholm in August 2012; it amounted to USD 115,000, excluding the time of the main organisers. This event gathered two or more persons from nine of the eleven JPs, and beyond the four-day workshop the delegates participated in the ensuing World Water Week.

Knowledge management takes time and is very personnel intensive; hence nearly half of the total budget has been utilized for staff time. The proportion of staff cost relative to the total budget has gradually increased throughout the implementation period, indicating partly that more work has been undertaking in-house rather than outsourced to consultants.

The scaling up of staff cost was also the strategy opted for in order to overcome initial delays in the implementation of the KM plan activities. Hence, the KM focal point/programme manager has been working on average 75% rather than the originally planned 50% of full time. The programme officer's time input was scaled up from initially 30% to between 50% and 100% of full time. The additional staff time was covered by reallocations from previously delayed activities envisaged to be carried out by external consultants.

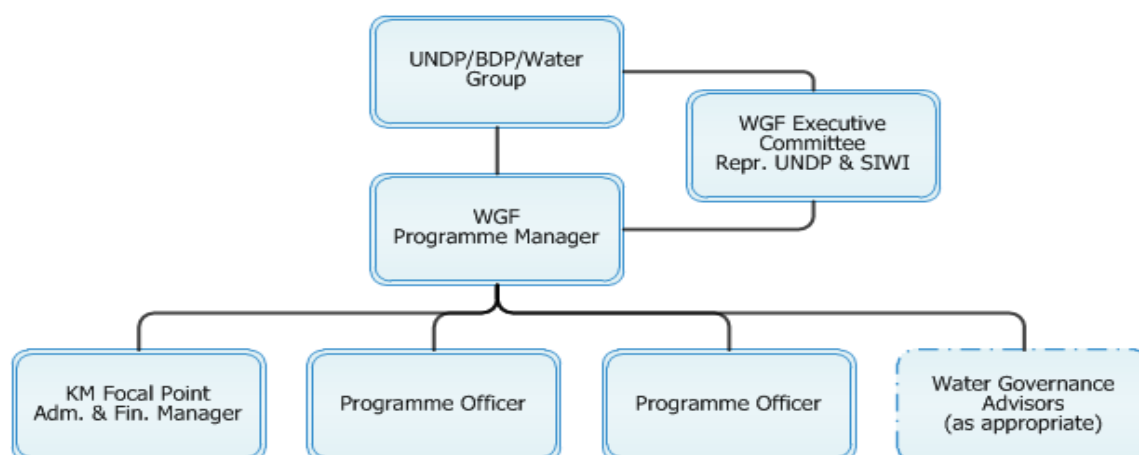
The KM plan has been fully implemented as of June 2013, with the exception of the finalisation of one research report. This report will be finalised later during 2013 beyond the scope of the KM plan, but as part of WGF gender-related activities. Certain activities, such as the development of 'Road-maps' for future sustainability were undertaken in conjunction with the second/final workshop. Hence, no specific cost has been allocated to this activity, as it was covered by staff-time and workshop costs booked to those respective accounts.

During the extension period, the full amount of funds allocated to the DEG-KM programme has been utilised, with a very marginal over-shoot. All in all, it can be concluded that the adequate level of funds were provided to this endeavour.

3. **IMPLEMENTATION ARRANGEMENTS**

3.1. Implementation arrangements used for the plan, including the human resources allocated (funded by the MDG-F and/or the convener agency)

The Democratic and Economic Governance Knowledge Management system (DEG-KM) has been implemented by WGF, i.e. the UNDP Water Governance Facility at SIWI (the Stockholm International Water Institute) in Stockholm. WGF's general operations are funded by Sida through the UNDP, and form part of the UNDP/BDP/EEG Water & Ocean Governance Program, see figure on next page.



Staffing

The KM focal point/programme manager, Dr Marianne Kjellén, has worked with the plan from October 2010 through June 2013. Initially, she dedicated 50% of full-time to the plan, but this was found to be insufficient, and hence scaled up 75% during last years of the project. Dr Kjellén is in charge of the overall management and administration of the plan, maintains regular contacts with JPs, plans the KM workshops, commissions and undertakes studies. Ms Moa Cortobius has been serving as project officer since the last quarter of 2011, with a level of engagement ranging between 50% and 100% of full-time. Ms Cortobius is involved in all aspects of plan implementation and report writing, particularly so in relation to the inter-agency work on gender, workshop organization and the management of the project's Teamworks and external web-site. Programme officer, Ms Alice Jaraiseh, was on parental leave during most of 2012, but has assisted with the finalisation of selected KM products during the first half of 2013.

3.2. List any partnerships and inter-agency collaborations

After the KM workshop in New York in March 2012, there have been significantly closer contacts with the KM plans of the other thematic windows, including Skype conversations and a web-site posting of the [KM glossary](#) produced by UNIDO.

Joint work has been carried out with three of the KM plans managed by other agencies. There has also been close contacts and collaboration with other UNDP programmes and a network for local water management in Latin America.

UNEP

The DEG-KM plan has throughout kept in contact with the UNEP KM focal point for the Environment and Climate Change (ECC) thematic window of MDG-F. During the last period, the collaboration has consisted in Ms Cortobius' contribution to the design of the MDG-F KM wiki, which spearheaded by the ECC KM plan, and the uploading of all the DEG experiences on the same. Coordination and support in the promotion of the Wiki in social media has also been undertaken.

UNEP and UNICEF

Moreover, during the autumn of 2012, the DEG-KM took the lead in exploring the potential for an inter-agency multi-stakeholder workshop focussing on Food and Water Security, on behalf of the MDG-F Secretariat. This exploration was undertaken jointly with the UNICEF and UNEP KM Focal Points for the programme areas Children, Food Security and Nutrition (CFSN) and ECC respectively. In the end the workshop was not realised, but significant exploration of joint features of the JPs of the three thematic windows was carried out.

UN-WOMEN

Since the inception, there have been close contacts between the DEG KM Focal Point and the KM Focal Point for Gender as a Cross-cutting Issue. Specific collaboration has involved a joint survey of mainstreaming and gender-related work of the DEG JPs, and support to the Gender programme's call for case studies on effective practices to enhance gender equality. The DEG KM plan added one case study (from Panama) to this endeavour.

At the 2012 World Water Week, initial findings from the UN-WOMEN were presented at a seminar on [*"Global Practice in Promoting Gender Equality in the Water Sector."*](#) The seminar was organised together with the World Bank and the Water and Sanitation Program. On behalf of the MDG-F, the JPs from Mexico and Ecuador made presentations on their gender-related work. The seminar was chaired by Ms Lakshmi Puri from UN-WOMEN.

UNDP MDG GoAL WaSH

Through a range of UNDP country offices, UNDP is assisting in the strengthening of governance, advocacy and learning in the area of water, sanitation and hygiene. In order to foster collaboration and exchange of experience, representatives of two of the GoAL WaSH were invited to take part in the second/final KM workshop in Stockholm.

CLOCSAS and Avina

In relation to the exploration of local water management practices supported by the JPs, the DEG-KM programme has come to establish close contacts with the Confederación Latinoamericana de Organizaciones Comunitarias de Servicios de Agua y Saneamiento (CLOCSAS). This confederation supports and advocates for the joint concerns of the community based service providers. CLOCSAS has received great support from the Avina Foundation. Both CLOCSAS and Avina participated at the second/final KM workshop in Stockholm. DEG-KM also participated and presented work at the third Latin American CLOCSAS encounter in Cuenca, Ecuador.

3.3. Please list monitoring or evaluation mechanisms in place to assess progress towards results

The main monitoring/evaluation mechanism in place is the present progress reporting, which is based on self-assessment in view of established work plans and targets. Also, the Teamworks and external web-sites have their monitoring components, in the way that progress is summarised and the conclusions regularly presented to the respective audiences.

The main guiding instrument for work planning and monitoring of the achievements has been the DEG-KM (overall) work plan, attached to the present report (Annex 2).

There has been no external evaluation of the KM plan, although the KM work was covered by the *External Review of the Sida Support to the UNDP Water Governance Program 2008 – 2011*. Another external assessment of the work of the WGF will be carried out during July-August, 2013.

4. **RESULTS**

4.1. Please provide a brief overall assessment of the extent to which the components of the plan are progressing in relation to the outcomes and outputs expected for the year.

The components and likewise intended outputs of the DEG-KM programme are:

<u>Component 1</u>	<i>Knowledge capture and development:</i> Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives.
<u>Component 2</u>	<i>Knowledge sharing and dissemination:</i> Lessons and innovative approaches shared and disseminated between country teams, UN-agencies and other similar initiatives.
<u>Component 3</u>	<i>MDG-F sustainability:</i> Promoting sustainability of country programming.

Knowledge Capture and Development – One major achievement in this area is the generation, collection and web dissemination of a range of *valuable experiences*, serving as a basis for the further investigation, analysis and documentation. These have also been fed into the joint searchable wiki covering all of MDG-F's thematic areas. Another major achievement is the documentation of local water management experiences through participatory videos, along with the analysis of cases of furthering relations in the water sector with a human rights-based approach.

Knowledge Sharing and Dissemination – The main achievement in this area remains the sharing of the valuable experiences, between the JPs at the two global KM workshops, and beyond at different conferences. Further, the Teamworks and external web-site – in English and Spanish – have been fully updated and maintained for information and dissemination purposes. Some innovative ways of furthering fairness, rights and responsibilities by the JPs have been captured and disseminated through a WGF research report.

MDG-F Sustainability – The sustainability of JP achievements and processes, along with the future dissemination and availability of insights and lessons learned, were particularly in focus during the final KM workshop in August 2012. There, roadmaps for future sustainability were developed by the JPs, including the identification of additional needs and the potential for further exchange between programmes.

The overall assessment of the achievements of the DEG-KM programme shows a good general progress, although most products have been produced later than originally planned. The initial low level of staffing was addressed, and since then all but one of the envisaged outputs have been realised.

4.2. Indicate the main outputs and outcomes achieved in Year 3 (Oct-2012 – June-2013).

The final year of the DEG KM plan has been very intense, with main outputs and outcomes being the final KM workshop and the range of KM products, like reports and videos.

Final KM Workshop

The second and final global DEG KM workshop was held in August, 2012, in Stockholm. It was preceded by intensive preparations, including the summary of achievements and lessons learned by the JPs. The workshop itself was structured around specific themes developed in dialogue with the

JPs, along with stock-taking of progress as well as the development of sustainability ‘Road-maps’ which led to a process of matching of needs and resources. Through social events and participation in the Stockholm World Water Week, there were intensive exchange opportunities between JPs and other professionals related to the theme of water governance.

Documenting practice and innovation

During the final year, several KM products have been finalised. These are case studies in the form of videos that document local water management practices in Panama and Honduras, and a report of effective ways of furthering gender equality (in Panama). A study of the innovative ways of furthering the mutual understanding between water users and service providers was undertaken to document innovative work in Albania and the Philippines. See below.

External and Internal Platforms

The DEG-KM maintains its Teamworks and external web sites – www.watergovernance.org/DEG-KM, mirrored in Spanish www.watergovernance.org/DEG-KM/es. All products of the KM work are uploaded on these sites, with final products displayed to the public through the web-site and intermediate or internal ones consistently posted on the DEG-KM Teamworks site. The DEG-KM plan has also been one of the main contributors to the building of the (UNEP-led) MDG-F wiki.

Further outputs are reported on below, as products of specific activities.

4.3. Please summarize main activities undertaken.

Activities are listed as they appear in relation to each component of the KM proposal, though it should be noted that these often overlap – constituting integral part of the continuous knowledge management cycle. A more synthesised and perspicuous overview of the progress of the activities is presented in the annotated indicative time plan, attached as Annex 3.

1. Knowledge capture and development: Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives.	
1.1 Develop first/initial Synthesis Report: Review of objectives, strategies and governance issues of JP proposals and identification of cross-cutting themes.	1.6a Case Studies: Effective Intercultural Approaches – Networking & Exchange
1.2 Develop template for identification & documentation of ‘valuable experience’ (good practice & lessons learned)	1.6b Case Studies: Effective Gender Approaches
1.3 Identify and document ‘valuable experience’ through Teamworks and www	1.6c Case Studies: Local Water Management
1.4 Mapping of similar/other KM initiatives (case studies, www)	1.7 Develop research papers - ‘User-provider’ & ‘Gender’
1.5 Develop strategy and methodologies for knowledge and innovation capture (plan for knowledge products & processes) incl. the identification of case studies and research papers	1.8 Develop second/final Synthesis Report -> 3.2
	1.9 Conference participation (& presentation) – as appropriate (WWW, IWA, WWF, etc.)
	1.10 Continuous consultation with JP teams, partner UN-agencies, government agencies, NGOs etc. – as appropriate

Activity 1.1: JP Synthesis Report

One of the first tasks of the knowledge management programme as identified in the project document was to synthesize country programme proposals with regard to similar interests related to water governance and knowledge management within and between the programmes. The report was completed and made available in [English](#) and [Spanish](#) in early July of 2011. For the program, the report has served as a data bank and information repository on the basics and the strategies of the JPs in the DEG thematic area.

Activity 1.2: Development of template for Valuable Experiences (VEs)

A template was developed and customized for the needs of the DEG-KM purposes. The template is available in both [English](#) and [Spanish](#), with a view towards the generation and display of additional Valuable Experiences (VEs).

Activity 1.3: Collection and dissemination of Valuable Experiences

The main drive for collecting VEs was in conjunction with the preparations for the KM workshop in Manta. The first and so far main use of the VEs has been that each JP gave a mini-seminar based on their initially selected experience.

The VEs are disseminated externally through the [dedicated DEG-KM website](#) and internally through the [Teamworks VE library](#). The www dissemination is felt as an important way to give recognition to the experiences identified by the JPs as valuable for others to learn from.

To further promote the VEs they have been submitted to the MDG-F Wiki, promoted by the ECC thematic window. This allows for cross-search and thematic clustering of VEs from different thematic windows, which enhance the accessibility and relevance for external actors. It also permits the Focal Points to utilize the advantages of joint promotion and dissemination of the VEs.

Activity 1.3: Collection and dissemination of VEs

Collection of VEs has continued throughout the KM plan, although at a lower level of intensity than at the onset of the plan. The dissemination continues internally through Teamworks and externally through the DEG-KM website and the MDG-F Wiki. Some VEs have been further documented, and links and resources are available through the DEG-KM website.

Activity 1.4: Mapping of similar/other KM initiatives (case studies, www) → Review of case studies and best practices on the internet

Reviews of how water and sanitation-related lessons learned are made available over the internet have been carried out during the past year. The reports, relating to web resources in English and Spanish are posted on Teamworks and the DEG-KM sub-page on [knowledge management](#). The main findings of the reviews relate to 1) the significant cross-postings of English material, with the commensurate lack of central hub function in relation to information in Spanish, 2) the preponderance of case study material rather than overviews or syntheses, and 3) the continued reliance on written reports (in pdf) rather than embedded web materials or in other forms.

Activity 1.5: Develop strategy and methodologies for knowledge and innovation capture, incl. the identification of case studies and research papers

The strategy and methodologies for knowledge and innovation capture has a strong basis in the collection of VEs and the ideas for further 'knowledge processes' generated at the first KM workshop. This was captured in the Menu/Plan for DEG-KM Knowledge Processes (July/October 2011). Whereas the 'knowledge tracks' of the plan are loosely adhered to, the Menu/Plan itself has not been followed to any great extent. The ongoing 'knowledge processes' are instead reported as specific activities here below:

Activity 1.6a: Effective Intercultural Approaches – Networking, Exchange and Transcultural Transparency

Based on a mini-proposal from the first KM workshop, a call was sent out to the DEG JPs for the initiation of an exchange of knowledge and experiences of activities and strategies related to intercultural approaches. While it did not work out to arrange for any exchange visits through the DEG-KM plan, an exchange was in fact organised through the ILO's involvement in inter-cultural and gender work in Paraguay, Panama and Nicaragua. Hence, several exchanges and visits were undertaken as part of these shared concerns. Experiences related to community water management in this vein will, incidentally, be presented at the upcoming World Water Week.

Stemming from the intercultural challenges of the JPs, a related initiative has been developed (with separate financing from MDG-F). The research endeavour [*Towards Transcultural Transparency*](#) (TTT) is exploring ways of overcoming the socio-cultural differences of different stakeholder in relation to the provisioning of sanitation and water services in six communities with indigenous, afro-descendent and mestizo peoples on the Nicaraguan Caribbean coast.

The issue of *interculturality* was also explored with the JPs during the second/final KM workshop in August 2012.

Activity 1.6b: Effective Gender Approaches

As mentioned under inter-agency collaborations above, the DEG KM plan has collaborated closely with the Gender as a Cross-Cutting Issue KM plan in the launching of case studies under the rubric of “Effective Practices in Promoting Gender Equality for MDG Achievement.” The DEG case studies of *Gender Integration in Water Policies and Regulation – the experience of Ecuador* and *Linking Local Water Management with Gender Focus to Public Policies in Mexico* are financed by the Gender as a Cross-Cutting Issue, whereas the case study from Panama is financed and monitored more closely by the DEG-KM plan.

The Panama “Effective Practices in Promoting Gender Equality for MDG Achievement” case study was concluded in January 2012. It showed how leadership training among indigenous women there had contributed to changed gender roles in rural societies. Men had become more amenable to participate in household work and showed greater respect for women’s different abilities. Indeed, several women participating in leadership training have subsequently been elected to political posts.

The work was initially awarded to a consultant with experience from human rights work. However, the quality of the first reports was not deemed to be of sufficient quality. Hence, the study was discontinued, but later picked up and finalised by the same consultant who led the video documentary work, see below.

Further study results of Effective Gender Approaches are reported below under ‘Research papers, Activity 1.7.’

Activity 1.6c: Local Water Management

Two knowledge and practice documentation initiatives were commissioned on local water management in Honduras and Panama, both of which have their main output as videos.

In Lempira, Honduras, local water committees have – assisted by their local mayor and the JP – taken the initiative to purchase parts of the catchment area as a way to protect the quantity and quality of their water source. This is an unusual strategy for small-scale water undertakings, and provides a viable alternative to the ‘payment for environmental services’ approach. The video tells the story of this endeavour through a dialogue between two villagers, who ‘in real life’ are the president and the secretary of one of the water committees.

The work was undertaken by a development communicator who has been engaged in the community and outreach work of the Honduran JP. Assistance in the process of developing the material was provided by SIWI, acting as executive producer, with the aid of an experienced documentary film-maker, Herrlander Pictures, acting as consultant to the project. The work involves a report of the process and several photographs. The main product, the video, is of 9 minutes.

In Bisira, Siráin and Kankintú in the Ngäbe Buglé province of Panama, a participatory video was developed with the three water committees that share a common aqueduct constructed with funds of the JP. The video develops, in the local language Ngabere, what this means in actual practice to maintain a water system. It explains how the water committee is organized and how the system is operated. It also gives testimony to the value of the system, and what kind of support, e.g. regular

payments, is needed from the users. How funds are managed is explained so that people know what they pay for.

The purpose of the video is mainly internal; to provide a way for the water committee to inform itself and its own community what it is to do – and what support it needs from the community – in order to maintain the system in the future. In the process of producing the video, a meeting between the three committees was organised. This, in turn, led to an agreement between the committees to work more closely together between themselves and with the operator of the system, and to start keeping spare parts locally.

The 23 minute video was produced by a local consultant who had previously been engaged with the development of Disaster Reduction and Preparedness Plans with these same communities. Mr Rafael Bonilla subsequently also took over the gender work (as mentioned above).

Initial shootings of the videos were shown to JP representatives at the second/final KM workshop. Parts of the Panama video was also shown at the third Latin American CLOCSAS encounter in Cuenca, Ecuador.

Both videos have been shortened and made more easily presentable through the development of trailers. The long videos and the trailers are all published on the open, but non-commercial, website Vimeo – for easy and open access. The Panama video trailer has been featured on the MDG-F website. All are available on the DEG-KM website.

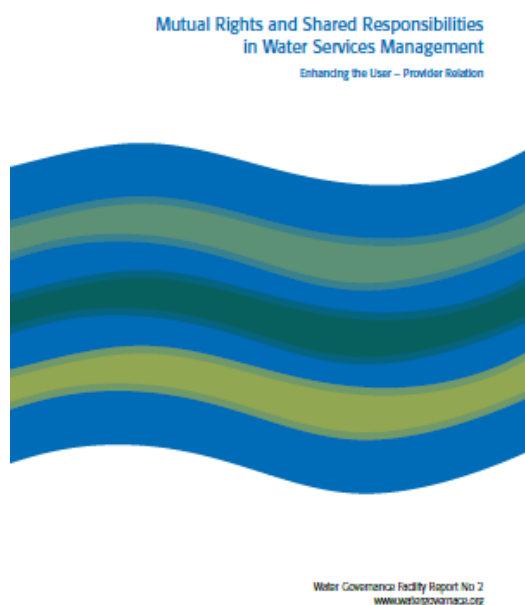
Activity 1.7: Develop research papers

Research papers/articles are to be developed in the areas of ‘Water services user-provider relations’ and ‘gender.’

‘User-provider relations’

This research delves on human rights-based approaches and practical ways to further the HR contents in the user-provider relations in the area of water and sanitation. It departs from the joint framing of the ‘model contract’ work in Albania and the ‘localised customer service codes’ of the Philippines together as practical ways for working towards the realisation of the HR in relation to water. The research report - Water Governance Facility Report No. 2 – now forms part of the publications series of the WGF, and is downloadable from the www.watergovernance.org website.

The report finds that the authorities have gone out of their way, in both cases, to foster a concern for the end water users, and the relations in the water supply chain. Still, the HR approach, which featured most strongly in the Philippine case, does not fit perfectly to the general set-up of water provisioning. The independent role of service providers vis-à-vis the state introduces a third party to the duty-bearer – rights-holder relation.



‘Gender’

The gender study departs from Cortobius’ Master’s thesis and the survey developed jointly by the DEG and Gender as a Cross-Cutting Issue KM plans. The survey responses from JPs are still in the

process of analysis. Initial findings were presented at the 2012 WWW seminar on “[*Global Practice in Promoting Gender Equality in the Water Sector*](#)”.

The findings are to be reported in the Water Governance Facility Report series. Moreover, the Gender and Water Journal (published by Pennsylvania University) has expressed strong interest in publishing articles on this gender work. The production of manuscripts will be undertaken by WGF beyond the DEG-KM plan.

1.8 Develop second/final Synthesis Report

This activity has been shifted to ‘Component 3.’ See activity 3.2 below.

Activity 1.9: Conference Participation (& Presentation)

Conference and workshop participation – with the main purpose being to participate rather than to present (see activity 2.11 below) – during the reporting period includes:

- The DEG-KM team was invited to participate in and present work at the Third Latin American Encounter for Community-Based Water and Sanitation Management. The meeting, held in Cuenca, Ecuador, in September 2012, provided deep insights regarding the value for society of social organizations: How community-based water and sanitation associations keep democratic society together – beyond the economic value of providing water and sanitation services to millions of people.

Activity 1.10: Consultation with JPs, UN-agencies, governments and NGOs

Regular group emails as a means to communicate with DEG JPs and country level UN system coordinators have been maintained throughout the implementation of the DEG-KM plan. During the past period, the correspondence has been more frequent and systematic, with a specific format of past, current and future issues being generally adhered to.

The information appears to be much appreciated in the feed-back received. Intensity of correspondence also tends to increase around the organization of joint meetings.

2. Knowledge sharing and dissemination: Lessons and innovative approaches shared and disseminated between country teams, UN-agencies and other similar initiatives.

2.1 (First) regional/global DEG-KM workshop (with JP country teams, KM experts and others)	2.7 Disseminate case studies (www, W-wiki, etc.)
2.2 Develop strategy and methodologies for communications and dissemination	2.8 Disseminate research papers (www, journal, etc.)
2.3 Contextualize and package information according to target group	2.9 KM seminars & side events at WWW
2.4 Develop Teamworks sub-site on DEG-KM	2.10 Disseminate KM seminar/event findings
2.5 Develop DEG-KM sub-site on WGF website	2.11 Conference presentation (& participation) – as appropriate (, WWW, etc.)
2.6 Disseminate first workshop outcomes (www, Teamworks)	2.12 Facilitation of study visits – to be explored
	2.13 Global (final) DEG-KM workshop (with JP country teams, donors and others)
	2.14 Disseminate Global (final) workshop outcomes (Teamworks, www, donor meetings, etc.)

Activity 2.1: (First) regional/global DEG-KM workshop (with JP country teams, KM experts and others) -> Knowledge Management Workshop in Manta, 21-24 March, 2011

The first global DEG-KM workshop, with the participation of about 50 delegates representing ten of the eleven DEG JPs, was held in Manta, Ecuador in March 2011. It has provided the point of departure for most of the subsequent activities undertaken by the DEG-KM plan.

Activity 2.2: Develop strategy for communication and dissemination

The first DEG-KM Communication and Dissemination Strategy was developed in October, 2011. It has been revisited on several occasions, and provides ideas and guidance for the future availability and dissemination of insights and lessons learned from the JP implementation processes. The main

media for dissemination remains the web-sites, with specific products to be launched through SIWI's and like-minded organizations' newsletters.

The idea of linking up with JP web-sites and displaying JP contents products has been discussed on several occasions, but not implemented. The main obstacle has been the absence of JP dedicated web-sites. In any case, with JPs now having closed, the better repository is with the hosting institutions.

Activity 2.3: Contextualize and package information

To contextualization and packaging of the information gathered and disseminated within the KM programme is a continuous process. The main target group of the DEG-KM activities has been the JPs of the DEG window. With the JPs having closed, the professionals in the water and sanitation sectors, more broadly, remain the primary target group.

During the KM plan implementation, the DEG-KM webpage, the Teamworks site and (group and individual) e-mails have been used to make information available to the programmes. Accessibility has been enhanced through comprehensible layout and the use of widely used documents formats (PDF, Word and Powerpoint).

In the DEG window the majority of the programmes are located in Latin America, why the parallel use of Spanish and English in all correspondence has been highly appreciated. During the KM workshop in Manta the simultaneous translation was vital as it enabled dialogue between the programmes. The same format for simultaneous interpretation was maintained for the second/final KM workshop in Stockholm.

All major documents which can be used by the JPs as reference documents (Synthesis reports and Workshop reports) are available both in both languages, as well as all information on the DEG-KM webpage. Similarly, the videos produced have been fitted with subtitles in English as well as Spanish.

The video from Panama is recorded in the vernacular Ngäbere. While not everyone in the local area are accustomed to communicate in the local language, it was selected as language of the video as it is how the majority of those involved most comfortably express themselves. More importantly, it demonstrates that the video is primarily aimed to serve as a local communication tool which aids the water committee in formulating its purpose and methods to its fellow villagers. In terms of contextualisation, therefore, the video completely lacks any explanation for foreigners regarding the situation of the three villages, as this is a given for those involved.

The issue of language is seen as an extremely important part of the 'appropriate' packaging and a way to direct knowledge products towards distinctive groups. Yet, for the involvement of indigenous populations and rural marginalised groups, the space and time for dialogue may be as important as the actual language of communication.

Activity 2.4: Develop Teamworks space on DEG-KM

A [Teamworks development space](#) was set up by the MDG-F at the onset of the programme and has been continually customized to DEG-KM needs. This includes a sub-page for the preparations and follow-up after the second/final DEG-KM workshop. While Teamworks have several functions, including that of discussions, its main function for the DEG-KM plan is to be a repository for information produced. This is an important function from an operational perspective. It will remain as an important archive – accessible to all of UNDP and those invited by the programme.¹

¹ A dedicated Teamworks space has been created for the other WGF undertaking with the MDG-F, the Towards Transcultural Transparency research partnership. Here, the space also serves as a repository of partial and semi-finalised products.

Whereas the interaction and collaborative use of the Teamworks site has been at a low level, and the movements dominated by the DEG-KM coordinators, the information is highly relevant and the layout is attractive. We are very proud of our site! In fact, there has been a constantly increasing number of 'followers', so perhaps even if our own JPs don't see much of it others may do!

Activity 2.5: Develop DEG-KM sub-site on WGF web-site

Early on during the DEG-KM plan implementation, a website was developed in English (<http://www.watergovernance.org/DEG-KM>) and Spanish (<http://www.watergovernance.org/DEG-KM/es>). The sites and sub-sites have been continuously updated and developed, so that both languages have complete information regarding the DEG-KM programme plans, activities and outputs. With the closing of the KM plan we have put additional efforts into making it an accessible and complete presentation of the objectives, methodologies and outcomes of the DEG-KM endeavour. The site will be maintained into the foreseeable future, and revisited, maintained and updated as/when appropriate.

Activity 2.6: Disseminate first workshop outcomes

The workshop report is available over Teamworks and the DEG-KM website, both in [English](#) and [Spanish](#). While the DEG-KM website provides links to the workshop presentations, on Teamworks, there is a short video of the workshop which has been produced by SENAGUA, Ecuador. The report not only contains the proceedings of the workshop, but also delves into the methods and processes employed. Hence, it records information about substance as well as about process.

Activity 2.7: Disseminate case studies

The dissemination of results from the KM processes has been a critical task of the final months of the DEG-KM plan. Due to rather late initiation of most documentation initiatives, the dissemination has also become slightly delayed. As and when completed in draft form, reports are posted and continually updated on Teamworks, and when finalised they are displayed also on the DEG-KM external website. The final products are also announced and promoted through pertinent newsletters.

Much of the substantive material of the DEG-KM plan has been issued in both English and Spanish. Case studies and research reports will remain in the languages of production, but may be translated on an ad-hoc basis. The video from Panama is a case in point, being available in Ngäbere, English and Spanish, though in different ways.

The main dissemination channel of case studies is the web-site, aided by newsletter and other social media adverts. To the extent that results are presented and discussed at meetings, seminars and conferences, insights and findings are conveyed from person to person. The major ideas are also conveyed in the popular version of the final report, which is one of the few products of the DEG-KM plan that is printed. (See activity 3.3 below)

Activity 2.8: Disseminate research papers

The research findings are disseminated through a more academic style format. This is the idea of the Water Governance Facility Report series. The first two papers have looked into issues of the Human Rights-Based Approach; the second one being a direct outcome of the DEG-KM plan. The gender research paper (yet to be finalised) will also be posted on the WGF website – planned as Water Governance Facility Report No. 4.

The more sustainable way of disseminating research results is through published articles. This work falls beyond the DEG-KM plan, but remains the ideal and will be pursued if the opportunity arises. Potential publications emanating from DEG-KM work, will hopefully be the main reason for the continuous updating of the DEG-KM web and Teamworks platforms.

Activities 2.9 + 2.10: KM Seminar at the World Water Week and dissemination of results

The two events convened at WWW in 2011 were reported on in the previous annual progress report. The final report of the KM seminar was posted, along with programmes and presentations, at the [dedicated WWW website](#).

Similarly, the programme and presentations of the “[Global Practice in Promoting Gender Equality in the Water Sector](#)” seminar organised in 2012 have their dedicated place on the WWW website. The results of the side event on “Tracking the Impact of Water Governance Interventions” proved useful in the preparation of the second/final synthesis report. At that event, 2-page printed ‘fact sheets’ on the achievements and lessons learned by each of the JPs present at the WWW were distributed.

At the upcoming 2013 WWW, the popular version of the DEG-KM final report will be launched at a social event organised at the SIWI booth.

Activity 2.11: Conference presentation (& participation)

Conference and workshop participation – with the main purpose being to present rather than to participate (see activity 1.9 above) – during the reporting period includes:

- On World Water Day, 22 March 2013 the Permanent Mission of Spain to the United Nations and the MDG Achievement Fund (MDG-F) organized a lunch event in conjunction with the High-Level Interactive Dialogue on Water cooperation of the UN General Assembly. WGF presented the MDG-F Experience and Achievements on Water Governance, outlining the work, lessons learned and achievements of Joint Programmes in the area of Democratic Economic Governance. Emphasis was put on the importance of balancing infrastructure investments with enhanced governance capacity in order for water and sanitation services to be sustained. The presentation is available on [Teamworks](#).

Activity 2.12: Facilitation of study visits

Field visits for study purpose had been identified as a potential form of knowledge exchange between JPs. Yet, it has not been possible to organise such visits under the auspices of the DEG-KM plan. However, the idea of facilitating meetings between indigenous groups for exchanging ideas and methods regarding gender and inter-cultural work was realised through an initiative by the ILO, involving the JPs in Nicaragua, Panama and Paraguay..

Activity 2.13+2.14: Global and final workshop and dissemination of results

The second/final global KM workshop was organised 22-25 August in connection to the 2012 World Water Week in Stockholm (26-31 August). This gave the participating JPs the opportunity to take advantage not only of the workshop but also of the knowledge and experiences at the WWW. The workshop focused on the evaluation of and sustainability of the achievements of the DEG JPs, and the devising of strategies for ensuring the sustainability of the results achieved and the processes implemented by the JPs in the DEG window.



and rapporteurs. In particular the written part of the reporting proved highly useful for the compilation of the workshop report. The results have been communicated through the DEG-KM web and Teamworks sites, and promoted through the WGF newsletter.

Remaining activities under Component 2 relate to the dissemination of results of the DEG-KM programme as such. The main initiative in this regard is the popular version of the final report, see 3.3 below.

3. MDG-F sustainability: Promoting sustainability of country programming.

3.1 Development of "Road-maps" for future sustainability

3.2 Develop second/final Synthesis Report:

3.3 Develop Final Report of DEG-KM programme (incl. future sustainability strategy)

The activities under Component 3 have mainly taken place during the final year (including the extension period) of the DEG-KM plan implementation.

Activity 3.1 Develop 'Road-maps' for future sustainability

Before coming to the second/final KM workshop, the DEG JPs were requested to think through what activities and processes they would like to sustain into the future. Ways to achieve this, and the kind of support needed, was then the topic for group and plenary discussions at the second/final DEG-KM workshop. This way of working draws inspiration from the UNEP mid-term evaluation workshop in Ecuador in February 2012. It was highly appreciated by JPs, as suggested by the workshop evaluations. The actual road-maps/plans and the related matching of needs and resources are documented in the Workshop Report.

Activity 3.2: Develop Final Synthesis report

As preparation for the second/final workshop and the ensuing WWW, the DEG JPs were requested to prepare two-page fact sheets on the Achievements of their respective programs. These two-pagers were turned into display materials at the DEG-KM workshop and WWW (see 2.9/2.10 above), and also used as inputs into the second synthesis report. Indeed, the information provided this way by the JPs forms the gist of the second Synthesis Report.

The second synthesis report, available in Spanish and English, reflects the discussion at the second/final KM workshop in that it problematizes the monitoring of results and the measuring of impacts. There are significant tensions between the soft interventions and qualitative results of governance work, as compared to hard and quantitative ones of physical infrastructures. In the conclusions, the report suggests that an appropriate balance between hard and soft issues need to be struck.



A report of the DEG-KM programme that provides a synthesis overview of achievements, lessons learned and the contribution towards the MDG targets of the Joint Programmes of MDG-F's thematic window entitled Democratic and Economic Governance.



JP SYNTHESIS Review of Achievements, Lessons Learned and the Contribution Towards the MDG Targets

*Knowledge Management – Water Supply and Sanitation
MDG-F theme: Democratic and Economic Governance*

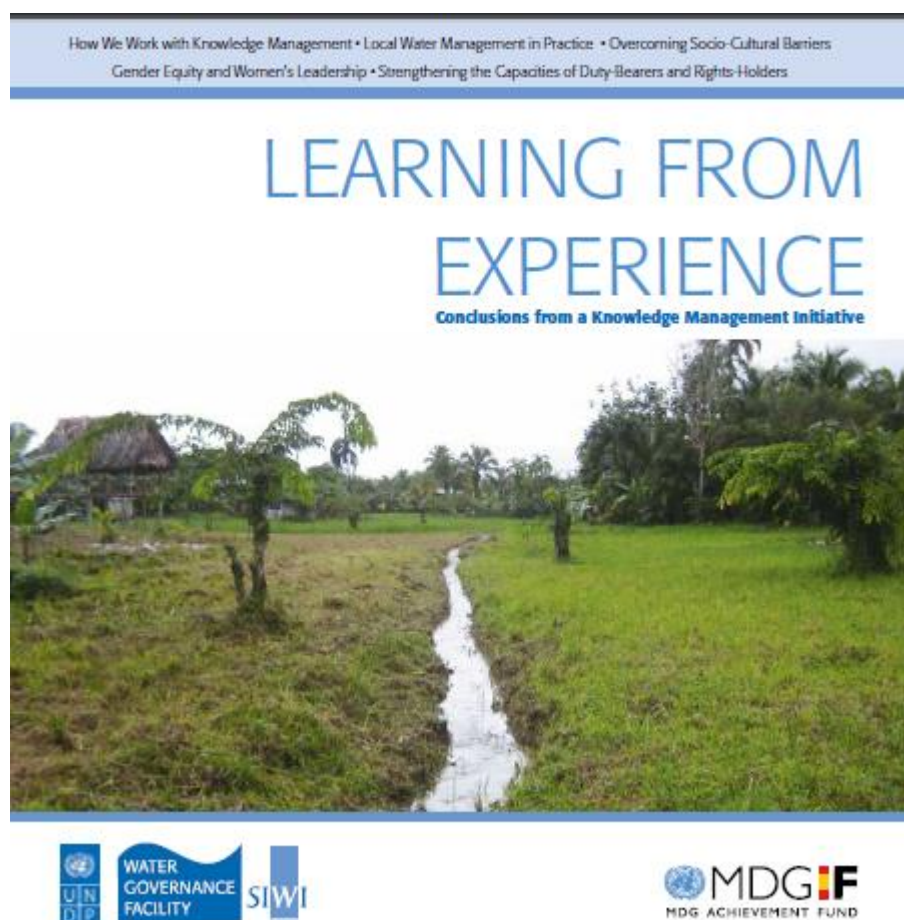
ENGLISH

Activity 3.3: Final DEG-KM programme report

Formally, the present report is the final (annual) report of the DEG-KM plan. However, as envisaged, a more substantive and accessible report that presents the final conclusions has also been prepared. This popular version, entitled *Learning from Experience* describes the elements of the DEG-KM plan and explains the methods of working along with the major substantive findings of the case study and

research work. It is structured in seven spreads, which match the revised set-up of the DEG-KM website.

The *Learning from Experience* brochure has been printed in Spanish (200 copies) and English (500 copies) and will be distributed to all JPs and relevant Resident Coordinators. The major external launch will be at a social event by the SIWI booth at the 2013 WWW.



4.4. Did you face any implementation constraints? How did you solve them?

The main constraint faced by the DEG-KM plan has been shortage of time, which caused delays in the initiation and follow-up of knowledge processes and documentation. This was resolved by increasing the human resource input. Even so, time seems never to be enough: The finalisation of the DEG-KM plan has been hectic, to say the least. Moreover, the finalisation of one research report (as envisaged) remains to be finalised. Similarly, as envisaged, the turning of research endeavours into publishable manuscripts takes additional time. If opportunities arise, this may be realised beyond the DEG-KM plan.

Another obstacle relates to the insufficient response from JPs in many ways. In relation to the knowledge processes/case studies, this was resolved through a field visit/tour to meet several JPs, which resulted in three different case studies and significantly closer communication with these JPs. Yet, the follow-up from JPs on the ideas and commitments at the KM workshops has remained insufficient. This is mainly explained by the time constraints of the JPs, but also to the fact that many JPs closed down during the final year of the KM plan. There was no resolution to this issue, although some contacts have been maintained with individuals after the closing of the JPs.

Had the KM plan started over again, it might have been worthwhile to try to work closer with each of the JPs to try to instil more KM – reflection, dialogue, documentation and dissemination – within the individual JPs.

Another insight relates to the importance of information management, i.e. to keep track of all the products and outputs of a programme; particularly the JPs, but even of the KM plan itself as it evolves. In this regard, the active use of spaces like Teamworks and an external web-page has resulted to be very useful, not least as a repository for all material. Most JPs could probably have had this sort of repository and dissemination more efficiently organised and potentially streamlined through the KM plan.

4.5. Please highlight any other issues that are pertinent to the results being reported on.

Being the final annual report, the reflection is naturally retrospective. The significance of KM for the continuous learning from the implementation experience has become very clear at the end of this endeavour. Also, as found early on, it also requires time and space in the midst of very hectic direct implementation imperatives, and also very structured documentation and information management. It is felt that KM could have been embedded within the JP project plans more effectively, and possibly the DEG-KM plan could have provided more help to individual JPs in this regard.

Further, the second synthesis report has delved into the reporting of results. Findings of interest relate to the JPs contributing primarily to the sustainability rather than the extension of services of water supply and sanitation, and also as regards the qualitative nature of many of the results. This adds greatly to the challenge of results reporting, and could hence need to be complemented by using narratives and ways of qualitatively detecting changes. In any case, close and continuous contact with the field remains paramount for keeping track of results.

Information management is important for keeping track of the details and the sources of information. For the learning and management of knowledge, the synthesis and distillation of the significance and importance of what has been found is as important. The *Learning from Experience* popular version of the DEG-KM final reporting aims to do just that. It is hoped that the findings and lessons learned will be accessible through that abridged and attractive presentation format.

5. Post-Programme Activities (July 2013, onwards)

After the end of the DEG-KM plan, WGF undertakes to keep the external web-site and the Teamworks platform as repositories of documents and testimonies to the KM endeavours undertaken. This will include periodical revisions and updates as appropriate.

The one research report which has not been concluded is to be taken up by the WGF general workplan for the remainder of 2013, involving the programme officer (Cortobius) and programme manager (Kjellén). The work is envisaged to be published as Water Governance Facility Report No. 4 before the end of the year.

Both research reports of the DEG-KM plan, relating to gender and to relations/human rights, would ideally be turned into publishable articles. This would give them a shelf life well beyond any web-published report. This is an added value which will be kept in mind. It is not possible to commit, but efforts will be put into making manuscripts to submit to academic journals.

Other threads, ideas and activities will be pursued if opportunities arise, i.e. if they can be included into other endeavours of the WGF. One cherished idea is a follow-on video from Panama. At the presentation of the edited product of the participatory video to the communities there was, first, great acclamation and reception of the video, and second, a demand for making yet another video

on sanitation. This would ideally be carried out in the neighbouring village of Cerro Ñeque which has, as a result of the JP, had full sanitary installations. To document the plan for the maintenance of this achievement, along with how the community addresses the issue of solid waste, would be a great topic for yet another participatory video from this region.

More generally, the WGF pursues several strategies for enhancing water governance throughout the developing world. DEG-KM results, findings and lessons learned will be continuously promoted and put into practice, as appropriate, through other governance related activities like the UNDP MDG GoAL WaSH. A lesson learned is the need to find the appropriate balance between soft and hard components of a programme – parts which are mutually supportive.

5.1. Describe how the plan will address existing challenges, build on achievements to date, strengthen partnerships and use the lessons learned during the previous reporting period.

The major lessons learned is that reporting and KM activities take time. It needs to be planned for, and preferably not pushed too far away from the actual event or implementation activity.

Moreover, what needs to be emphasised, is that in spite of the efforts involved, the KM activities generate significant benefits for the drawing out of the meaning and significance of programme activities. The learning from on-going or past experience is an significant value-added to a programme.

Again, the importance of structured work planning and management of information – keeping track of reports – and insights – by summarising the gist of every effort cannot be over-emphasised. Documenting insights and making them available to others increases the chances of the experiences gained being put into practice or built upon in future initiatives.

These insights from the DEG-KM plan will be carried over to on-going and future WGF initiatives, and as much as possible to related SIWI and UNDP activities.

5.2. Are there any major adjustments planned in the outcomes, outputs, or activities?

The KM plan has followed the broad plan laid out in the project document. One report remains to be finalised.

5.3. Please list any plans for communication and outreach of KM products

With all (or nearly all) reports having been produced and published on the web-site, what remains is to keep it in order in an attractive way. Moreover, continued 'marketing' of the KM may, in accordance with the 'Communication and Dissemination Plan' also take the form of putting information about products onto newsletters by other organisations, apart from the WGF and SIWI newsletters.

In addition, some products have been further disseminated through tweets. Conferences, most importantly the WWW, remain important avenues for person-to-person dissemination and exchange of insights.

Finally, the popular version of the DEG-KM 'final report,' *Learning from Experience*, will be launched at a social event at the SIWI booth at the World Water Week in September, 2013. This publication is intended to inspire future project endeavours in the water and sanitation sectors to take knowledge management on board at the onset.

6. ANNEXES

The following annexes are attached to the present report:

Annex 1 – Expenditures to-date

Annex 2 – Indicative Time Table of Work Plan (updated July, 2013, to reflect actual progress)

Annexes 1 and 2 are included further below at the end of this same document.

The annexes listed below (which are parts of previous reports) are submitted – in separate files – together with the present report.

Annex 3 – Year 1 Work Plan (January 2011)

Annex 4 – Year 1 Budget (March 2011)

Annex 5 – Year 2 Work Plan (revised/updated, March 2012)

Annex 6 – Year 2 Budget (from previous progress report, October 2011)

Annex 7 – Year 3 Work Plan (revised/updated, August 2012)

Annex 8 – Year 2 Budget (from previous progress report, August 2012)

Convener Agency: WGF - UNDP Water Governance Facility at SIWI Thematic Window: Democratic and Economic Governance						
Outcomes	Outputs (Products)	Main Actions	Activities	Implementing Partners	Budget (in US\$)	
					Budgeted Amount	Expenditure
1. Knowledge capture and development	Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives	Synthesis Report finalized and posted on TW/www	1.1 Develop first/initial Synthesis Report	SIWI	Total budget allocated to Component 1: US\$ 297,148 (orig. budget in project document: US\$ 383,000)	Total expenditure (activities + one third of time & administration cost) allocated to Component 1: US\$ 313,251
		Developed by WGF, with MDG-F Secr and KM-focal points	1.2 Develop template for 'valuable experience'	SIWI & MDG-F		
		Valuable Experiences submitted, presented by JPs at KM workshop	1.3 Identify and document 'Valuable Experience'	JPs & SIWI		
		En and Sp www reviews completed. Video documentation process supported.	1.4 Mapping of KM initiatives & assist knowledge processes	SIWI		
		Menu/Plan developed, based on JP suggestions & activities. Only vaguely adhered to.	1.5 Develop strategy and methodologies for knowledge and innovation capture	SIWI		
		Activities integrated into new research partnership (TTT)	1.6a Network & develop case study 'Interculturality'	JPs & SIWI		
		Master's thesis completed and follow-up survey conducted. Yet to be analyzed. Case study (Panama) as part of 'Effective Practices in Promoting Gender Equality for MDG Achievement' documentation initiative completed	1.6b Network & develop case study 'Gender'	SIWI & JPs		
		Two case studies (videos) on 'local water management' completed – Honduras and Panama	1.6c Develop case study z	JPs & SIWI		
		Study on user-provider relations completed and posted on web-site. Gender research to be	1.7 Develop research papers 'Water services user-	SIWI		

Convener Agency: WGF - UNDP Water Governance Facility at SIWI Thematic Window: Democratic and Economic Governance						
Outcomes	Outputs (Products)	Main Actions	Activities	Implementing Partners	Budget (in US\$)	
					Budgeted Amount	Expenditure
		finalized. Potential journals for manuscript submission identified. 1) Third Latin American Encounter for Community-Based Water and Sanitation Services attended. Continued (group & individual) emailing and global workshop	provider relations' & 'Gender' 1.9 Conference participation 1.10 Continuous consultation with relevant parties	SIWI & JPs SIWI		
2. Knowledge sharing and dissemination	Lessons and innovative approaches shared and disseminated between country teams, UN-agencies and other similar initiatives	Organized in Manta, Ecuador, in March, 2011	2.1 First global DEG-KM workshop	SIWI & JPs	Total budget allocated to Component 2: US\$ 390,774 (orig. budget in project document: US\$ 304,000)	Total expenditure (activities + time & administration cost) allocated to 2: US\$ 363,263
		Drafted and revisited.	2.2 Develop strategy and methodologies for communications and dissemination	SIWI		
		Continuous – create dialogue, bilingual communication etc.	2.3 Contextualize and package information according to target group	SIWI		
		Established – continuously updated with relevant information and reports	2.4 Develop Teamworks sub-site	MDG-F & SIWI		
		Established – continuously updated with relevant information and reports	2.5 Develop sub-site on WGF website	SIWI		
		En and Sp versions available www & TW	2.6 Disseminate first workshop outcomes	SIWI		
		Available on web-site and Teamworks	2.7 Disseminate case studies	SIWI		
		One available on web-site, one to be completed.	2.8 Disseminate research papers	SIWI		
		2011: 3 JPs presented, 2012: 3 JPs presented	2.9 KM seminars and side events at Water Week	JPs & SIWI		

Convener Agency: WGF - UNDP Water Governance Facility at SIWI Thematic Window: Democratic and Economic Governance						
Outcomes	Outputs (Products)	Main Actions	Activities	Implementing Partners	Budget (in US\$)	
					Budgeted Amount	Expenditure
		Through DEG-KM and conference website	2.10 Disseminate KM seminar findings	SIWI		
		1) Presentation at World Water Day (22 March 2013) organized by Spanish mission to the UN in New York.	2.11 Conference presentation	SIWI & JPs		
		Completed by other agency (ILO)	2.12 Facilitation of study visits	SIWI		
		Held in Stockholm in August, 2012.	2.13 Global final DEG-KM workshop	SIWI & JPs		
		Available through DEG-KM website and Teamworks	2.14 Disseminate Global final workshop outcomes	SIWI		
3. MDG-F sustainability	Promoting sustainability of country programming	Developed by JPs at second/final DEG-KM workshop in Stockholm.	3.1 Development of "Road-maps" for future sustainability	JPs & SIWI	Total budget allocated to Component 3:	Total expenditure (activities, time & administration) allocated to Component 3:
		Builds greatly on JP contribution. Discussed during second/final workshop. Finalized during 2013. Available on web-site and Teamworks	3.2 Develop second/final Synthesis Report	SIWI & JPs	US\$ 62,078	
		Final "Learning from Experience" report printed and in process of distribution. Main launch at WWW 2013.	3.3 Develop Final Report of DEG-KM programme	SIWI	(orig.: US\$ 63,000	US\$ 76,298
Total					US\$ 750,000	US\$ 752,812 (100% of total)

Annex 1 – Final Year: Expenditures to-date

MDG-F Democratic and Economic Governance - Knowledge Management: Budget & Expenditures Reconciliation																							
Component / outcome	Item / Activity	October 2010 - September 2011		October 2011 - June 2012		July - September 2012			October - December 2012			January - March 2013			April - June 2013			Budget / Expenditure Analysis (last period)			Budget / Expenditure Analysis (all periods)		
																		total budget	total expend.	last yrs exp.	total budget	total expend.	expenditure
		budget	expenditure	budget	expenditure	budget		actual expenditure	budget		actual expenditure			actual expenditure			actual expenditure until closing	last 12 months	last 12 months	as % of budget	2010-2013	2010-2013	as % of budget
1. Knowledge capture and development: Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives	Report finalisation																						
	Web & Editing of reports	3 000	- 4 297	5 751	- 7 998	6 000	-	-	8 000	-	-	-	- 3 979	-	-	- 6 617	14 000	- 10 596	76%	26 296	- 22 891	87%	
		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
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	Translation & proof reading	9 000	- 2 192	9 000	- 101	3 000	-	-	3 000	-	-	-	- 946	-	-	- 621	6 000	- 1 567	26%	8 293	- 3 861	47%	
		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Computer materials & Field work and study production	1 605	- 1 305	600	- 730	500	-	-48,15	500	-	-192,32	- 192	-	-	-	-	1 000	- 240	24%	3 035	- 2 275	75%	
		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Knowl. Proc. & mapping	20 000	- 904	24 000	- 9 775	3 000	-	-	3 000	-	-	- 4 746	-	-	- 3 797	-	6 000	- 10 781	180%	16 679	- 21 460	129%	
	Video process support	-	-	-	-	-	-	-2 237,79	-	-	-	-4 745,99	-	-3 796,79	-	-	-	-	-	-	-	-	
	Develop case studies	10 000	-	10 000	-	17 000	-	-7 956,86	17 000	-	-7 978,76	- 7 979	-	-	-	-	34 000	- 15 936	47%	34 000	- 15 936	47%	
	Develop case studies	-	-	10 000	- 4 148	10 000	-	-7 786,32	-	-	-	-	-	-	-	-3 803,33	- 3 803	10 000	- 11 590	116%	14 148	- 15 737	111%
	Develop case studies	-	-	-	-	-	-	-2 321,96	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Develop research reports	5 000	-	11 878	-	1 500	-	-	1 500	-	-	-	-	-	-	-	3 000	-	0%	3 000	-	0%	
	Alternative KM processes	-	-	40 000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Literature and materials	6 000	- 459	6 000	- 52	1 000	-	-	1 000	-	-	-	-	-	-	-	2 000	-	0%	2 511	- 511	20%	
	Knowledge capture, exchange & presentations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Conference	5 500	- 2 274	6 000	- 1 791	2 000	-	-	2 000	-	-	-	-	- 1 179	-	-	4 000	- 5 979	149%	8 065	- 10 044	125%	
	participation/presentation	-	-	-	-	-	-	-4 800,51	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Other travel / field visits	4 000	-	10 992	- 7 570	3 000	-	-	3 000	-	-	-	-	-	-	-	6 000	-	0%	13 570	- 7 570	56%	
2. Knowledge sharing and dissemination: Lessons and innovative approaches shared and disseminated between country teams, UN-agencies and other similar initiatives	Workshops, meetings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	1st DEG KM global workshop	85 000	- 91 254	-	- 101	-	-	-	-	-	-	-	-	-	-	-	-	-	-	91 355	- 91 355	100%	
	seminars at WWW	35 000	- 14 107	-	- 1 662	8 000	-	-	-	-	-	-	-	-	-	8 000	- 3 625	45%	23 769	- 19 394	82%		
		-	-	-	-	-	-	-3 625,38	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	30 000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Additional meetings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	2nd DG KM global workshop	-	-	-	- 28 950	135 000	-	-	-	-	-	-	-	-	-	135 000	- 86 093	64%	163 950	- 115 043	70%		
		-	-	-	-	-	-	-13 990,28	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	-	-	-	-	-11 947,51	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	-	-	-	-	-46 172,87	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	-	-	-	-	-12 896,28	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
		-	-	-	-	-	-	-1 086,21	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
3. MDG-F sustainability: Promoting sustainability of country programming	Dissemination activities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	IT, printing, distribution	5 000	-	10 000	-	-	-	IT, computing	0,00	- 2 108	1 728	IT, computing	- 2 059	-	-	Popular version final report	- 3 396	1 728	- 7 563	438%	1 728	- 7 563	438%
	Road-maps for sustainability	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Development of "Road-maps" for future sustainability (at 2nd KM workshop)	-	-	6 000	-	2 500	-	-	2 000	-	-	-	-	-	-	-	4 500	-	0%	4 500	-	0%	
SIWI staff and administration	Personnel & Administration	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Marianne Kjellén (50%-75% of full-time)	67 750	- 67 750	50 813	- 59 282	25 000	-	-	25 000	-	-	- 21 769	-	-	- 19 114	-	50 000	- 87 352	175%	193 969	- 214 384	111%	
	Alice Jaraseh (20-50% of full-time)	25 113	- 29 498	4 653	- 4 478	-	-	-	3 000	-	-	-	-	-	- 1 948	-	3 000	- 4 870	162%	36 976	- 38 846	105%	
	Moa Cortobius (50%-100% of full-time)	-	-	-	- 44 420	11 000	-	-	11 000	-	-	- 11 623	-	-	- 17 123	-	22 000	- 56 587	257%	59 157	- 101 007	171%	
	Offices and bank charges	-	-	-	-	-	-	Premises	- 9 317	-	-	Bank charges	- 116	-	- 2 295	Premises & bank ch.	- 1 448	-	-	-	-	-	
	Administration - 6% of full-time	20 000	- 20 000	15 000	- 15 000	5 000	-	-	5 000	-	-	-	-	-	-	-	10 000	- 10 000	100%	45 000	- 45 000	100%	
	Total amounts	301 968	- 234 041	250 687	- 186 057	233 500	-	-	86 728	-	-	- 53 484	-	-	- 54 818	-	320 228	- 332 713	104%	750 000	- 752 812	100%	

Budget and Expenditures, by programme component		
Component / outcome	Total Budget	All Expenditures
	October 2010 - June 2013	October 2010 - June 2013
	USD	USD
1. Knowledge capture and development: Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives	Subtotal - component 1	Subtotal - component 1
	297 148	313 251
	Activities - component 1	Activities - component 1
	129 597	107 045
	Time & admin - component 1	Time & admin - component 1
	167 551	206 206
2. Knowledge sharing and dissemination: Lessons and innovative approaches shared and disseminated between country teams, UN- agencies and other similar initiatives	Subtotal - component 2	Subtotal - component 2
	390 774	363 263
	Activities - component 2	Activities - component 2
	279 074	225 792
	Time & admin - component 2	Time & admin - component 2
	111 701	137 470
3. MDG-F sustainability: Promoting sustainability of country programming	Subtotal - component 3	Subtotal - component 3
	62 078	76 298
	Activities - component 3	Activities - component 3
	6 228	7 563
	Time/admin - component 3	Time/admin - component 3
	55 850	68 735
	All	All
	750 000	752 812

Annex 2 – DEG-KM Work Plan (updated/finalised July 2013)

INDICATIVE TIME TABLE REVISED JULY 2013

Outcomes (components/outputs)	Activities	2010	2011					2012				2013		
		Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	
Legend: → = on-going activity, = end of activity, Q= quarter, ◆ = completed														
Knowledge capture and development: Strategic and contextualized recommendations developed targeting country actors and international processes and fora to share knowledge with similar initiatives	1.1 Develop first/initial Synthesis Report: Review of objectives, strategies and governance issues of JP proposals and identification of cross-cutting themes			◆										
	1.2 Develop template for identification & documentation of 'valuable experience' (good practice & lessons learned)		◆											
	1.3 Identify and document 'valuable experience' through Teamworks and www - Assemble narratives of 'significant change' and present at events in 2012													
	1.4 Mapping of similar/other KM initiatives - Review of English websites - Review of Spanish websites				EN	SP								
	1.5 Develop strategy and methodologies for knowledge and innovation capture (plan for knowledge products & processes)				◆									
	1.6.a Network & develop case study 'Interculturality'						→							
	1.6.b Network & develop 3 case studies 'Gender'													
	1.6.c Develop case study 'Local water management' - Honduras and Panama													
	1.7.a,b,... Develop research paper 'User-provider relations' & 'Gender'													
	1.9 Conference participation (& presentation) – as appropriate (WWW, IWA, WWF, etc.)	ECC				UNIDO DEG	ECC	MOG-F	WSP		CLOCSAS			
	1.10 Continuous consultation with JP teams, partner UN-agencies, government agencies, NGOs etc. – as appropriate													

INDICATIVE TIME TABLE REVISED JULY 2013

Outcomes (components/outputs)	Activities	2010	2011				2012				2013			
Legend: →= on-going activity, // = end of activity, Q= quarter, ♦ = completed		Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	
2. Knowledge sharing and dissemination: Lessons and innovative approaches shared and disseminated between country teams, UN-agencies and other similar initiatives	2.1 (First) regional/global DEG-KM workshop (with JP country teams, KM experts and others)		♦	Workshop Manta, Ecuador										
	2.2 Develop strategy and methodologies for communications and dissemination		Communication and dissemination strategy done - revised/updated as appropriate											
			Continuous activity – mainly through TW and DEG-KM website											
	2.3 Contextualize and package information according to target group		Format established - continuously updated				→	→	→	→	→			
	2.4 Develop DEG-KM sub-site on Teamworks	♦	Website created and continuously updated and augmented									→		
	2.5 Develop DEG-KM sub-site on WGF website				EN	SP	Reports from 1 st workshop complete, available on TW							
	2.6 Disseminate workshop outcomes (www, Teamworks)		Through DEG-KM website, other channels to be explored (see strategy)											
	2.7 Disseminate case studies (www, W-wiki, etc.)		In progress through websites, newsletters and social media											
	2.8 Disseminate research papers (www,journal,etc.)													
	2.9 Events at the World Water Weeks	Seminar & side-event		2011							2012	Gender seminar & KM side-event		
	2.10 Disseminate KM seminar findings						♦	Published on dedicated WWW page						
	2.11 Conference presentation (& participation) – as appropriate (ECAS4, WWW, etc.)			ESF		SEI		EDM	EEG			NY		
	2.12 Facilitation of study visits – on hold													
	2.13 Global (final) DEG-KM workshop (with JP country teams, donors and others)					Stockholm, 22-25 August				♦				
2.14 Disseminate Global (final) workshop outcomes (Teamworks, www, donor meetings, etc.)		Through TW, DEG-KM website and other relevant fora (see strategy)												

INDICATIVE TIME TABLE REVISED JULY 2013

Outcomes (components/outputs)	Activities	Y 1	Year 2 (2011)				Year 3 (2012)				Year 4 (2013)		
Legend: → = on-going activity, // = end of activity, Q = quarter, ◆ = completed		Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3
3. MDG-F sustainability: Promoting sustainability of country programming	3.1 Development of "Road-maps" for future sustainability		Developed during the 2 nd KM workshop						◆				
	3.2 Develop second/final Synthesis Report: Documentation of achievements and effects of the JPs, outline potential ways to sustain processes and results and to disseminate generated knowledge												◆
	3.3 Develop Final Report of DEG-KM programme (incl. future sustainability strategy)		"Popular" report finished, printed and being shared with relevant actors										◆